



PATENT
82347.0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RHEE

Serial No: 09/776,379

Filed: February 2, 2001

For: REAL LIFE IMPLEMENTATION OF
MODERN PORTFOLIO THEORY
(MPT) FOR FINANCIAL PLANNING
AND PORTFOLIO MANAGEMENT

Art Unit: 2164

Examiner: To be assigned

I hereby certify that this correspondence
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Commissioner for Patents
Washington D.C. 20231, on
May 31, 2001

Date of Deposit

Wei-Fu Hsu

Name

May 31, 2001

Signature

Date

**RESPONSE TO NOTICE TO FILE CORRECTED
APPLICATION PAPERS**

Box MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Corrected Application Papers, Filing Date Granted,"
dated March 15, 2001 to which a response is due June 15, 2001, enclosed are the following:

- ☒ A copy of the "Notice to File Corrected Application Papers, Filing Date Granted."
- ☒ A copy of the substitute drawings (9 sheets) in compliance with 37 CFR 1.84.
- ☒ A "Petition for Extension of Time" for one month and a check for the required fee in the amount of \$110.

The Commissioner is authorized to charge any underpayment to our Deposit Account
No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: May 31, 2001

By: 

Wei-Fu Hsu

Registration No. 45,723

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,379	02/02/2001	Thomas A. Rhee	

CONFIRMATION NO. 8077

FORMALITIES LETTER



OC000000005865993

Hogan & Hartson L.L.P.
Suite 1900
500 South Grand Avenue
Los Angeles, CA 90071

Date Mailed: 03/15/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE